

Securities Investment Trust Enterprises(SITE)

Domestic Funds
(The Funds)

【SITE Name】

Account Opening Agreement (for Post Office only)

Date : Y M D

Post Office Number : □□□□□□ — □

The beneficiary is applying to open a mutual fund account at a Securities Investment Trust Enterprise (SITE) and hereby agrees to sign and to be bound by the terms and content of the "account opening agreement" so that the beneficiary can engage in fund transactions via designated post offices, mail, or fax.

※ Please complete all data fields below and sign clearly or make a clear seal impression in the seal impression field (may not be altered or corrected); please provide your seal impression if any other places have been altered.

Beneficiary				ID No.	□	□	□	□	□	□	□	□	□	□	□	□	□	□	□
Date of Birth	Year	Month	Day	Nationality	<input type="checkbox"/> R.O.C (Taiwan) <input type="checkbox"/> Others :														
Born in USA	<input type="checkbox"/> Yes <input type="checkbox"/> No ※ SITE has commissioned the Post Office to collect documents of opening mutual fund account and does not allow persons with US taxpayer status to open accounts; please refer to Point 7 of the Explanatory notes on the 4 th page of this agreement.																		
Registered Address <small>As shown in your ID</small>	□□□-□□																		
Mailing Address	<input type="checkbox"/> Same as Registered Address <input type="checkbox"/> Specify Other:																		
Contact Tel. No. <small>(please include the country code if outside the Taiwan area)</small>	(Office) ()	(Home) ()	(Mobile)	(FAX) ()															
E-mail	※ If you provide an E-mail, the transaction statement will be received via E-mail and the SITE does not send a physical statement. : _____@_____																		

※ If the beneficiary is a minor, the statutory agent (parent or guardian) information must be filled in below; when there is more than one statutory agent but only one serves as an agent at counter, the agent must present other agent's (not present) ID card and consent letter before signing or affixing his or her seal on behalf of the other one.

※ In accordance with the Civil Code, the SITE shall not allow persons who have become subject to the order of the commencement of guardianship to open an account or make transactions; a person under assistance must obtain the consent of the assistant before opening an account or making transactions.

Statutory Agent's Name		Statutory Agent's ID No.	□	□	□	□	□	□	□	□	□	□	□	□	□	□	□	□	□
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◆ Notification concerning the collection, processing, and use of personal information:

- You (beneficiary, legal guardian) agree that the SITE may, in accordance with the Personal Information Protection Act, other relevant domestic and foreign laws and regulations, operation goals, risk control, audits, customer services, management, provision of information, your benefit, or at the request of competent authorities or courts, provide your account opening, transaction information, etc. to the SITE, other companies which are commissioned to perform fund matters, any company in the group to which the SITE belongs, the domestic or foreign financial supervisory institution of the foregoing companies, the competent authorities, or courts.
- You also agree that the SITE may, for the foregoing purposes, collect, process, use, and transmit internationally your personal information including identification information (name, contact method, ID number, passport number, resident certificate number, residential information, US taxpayer number, or account name and number), characteristic information (date of birth, nationality), household information (marital status), education information, financial information (income, assets), and social situation information (occupation).
- Periods, locations, subjects, and methods in personal information use: During the period of collecting personal information for a specific purpose, the retention period of information specified in statute or contract, or the necessary retention period for the SITE to implement services, the SITE and the other subjects listed in point 1 may use the personal information in domestic or foreign territories via automated equipment or other non-automated way.
- In accordance with Article 3 of the *Personal Information Protection Act*, in terms of your personal information held by the SITE, you may contact personnel of the SITE customer service by telephone, go to a post office counter, or call Chunghwa Post customer service line to help you exercise the following rights using appropriate methods specified in the SITE regulations:
 - Inquiry or request to review, or make duplications of the personal information (in accordance with law, the SITE may charge a fee for necessary expenses).
 - Request to supplement or correct the personal information; however, in accordance with law, you shall provide an appropriate explanation.
 - Request the cessation of the collection, processing, or use of personal information and request the deletion of information. However, in accordance with law, the SITE may choose not to honor your request when the information is necessary for its implementation of services.
- If you refuse to provide relevant personal information or the information is incomplete, the SITE may not be able to perform necessary review and processing tasks and then may not be able to provide services to you.

◆ Fund income distribution instruction (if the fund distributes dividends, the dividends will be handled in accordance with this instruction)

Please designate and check one fund income distribution method in the case of funds with dividend distributions. If neither or both are checked, the dividends will be paid in cash. However, if the prospectus specifies otherwise, the regulations in the prospectus shall take precedence regardless of whether the methods below are checked.

- Reinvestment in additional shares
- Payment in cash (please fill out the authorized redemption and fund income distribution remittance account below)

due to telephone line or equipment malfunction or any other factors, or the SITE believes that it is need for confirmation, the beneficiary agrees to fax clear documents with sufficiently identifiable content and signature/seal impression to the SITE; otherwise, before completing confirmation, the SITE may refuse to accept faxed transactions from the beneficiary.

6. If the beneficiary holds a physical beneficial certificate, the SITE will not accept redemption (including switch) applications by fax.
7. The beneficiary shall be bound by the terms of this agreement and the Fund-related documents from the SITE (such as the trust contracts and prospectus of the Fund); all other matters not specified in this agreement shall be undertaken in accordance with *Securities and Exchange Act, Regulations Governing Securities Investment Trust Funds*, and other related rules and regulations.
8. The foregoing matters shall take effect only after the original of this agreement has been sent to the SITE and the SITE verifies it.
9. To terminate this agreement, the beneficiary shall send the original of written request to the SITE. Termination will take effect only after the SITE verifies the terminating request. Prior to SITE receiving of such a request, those implemented transactions and the rights and duties of the two parties shall not be affected in any cases.

◆ **Documents to be provided when opening the Fund account (please bring original of personal ID document for inspection):**

1. Photocopy of front and back of ID card when beneficiary is an adult.
2. If the beneficiary is a minor or a person under assistance:
 - (1) Photocopy of the beneficiary's ID card (if the beneficiary has not yet obtained an ID card, please provide a photocopy of the beneficiary's household certificate or original of the beneficiary's household certificate transcript).
 - (2) Photocopy of the front and back of the statutory agent's (parent or guardian) or assistant's ID card.
 - (3) If there is more than one statutory agent but only one serves as an agent at counter, please provide other agent's (not present) ID card and consent letter.
3. **If the beneficiary is not a U.S. resident for tax purposes but was born in the United States, please provide Individual's Certificate of Loss of Nationality of the United States and a W-8BEN form; a W-8BEN form shall also be provided when the beneficiary has any one of the following U.S. indicia:**
 - (1) A U.S. residence address or U.S. mailing address (including a U.S. post office box).
 - (2) A U.S. telephone number.
 - (3) Statutory agent, guardian, or assistant has a U.S. address.
 - (4) An "in-care-of" or "hold mail" address that is the sole address.
 - (5) Remitting to a US account frequently.
4. **The beneficiary's seal impression shall be subject to the seal impression as in SITE record. If the beneficiary would like to change seal impression, please perform the change with the SITE in accordance with related regulations; the renewed seal impression shall take effect only after the change**

has been completed.

◆ **Explanatory notes:**

1. **The Fund has been approved or agreed to be effective by Financial Supervisory Commission (herein referred to as the FSC). However, there is no guarantee that it is risk-free. The SITE's past performance of the Fund manager does not guarantee a minimum investment return. Apart from exercising the duty of care of a prudent administrator, the fund manager will not be responsible for the profit or loss of the Fund, not guarantee a minimum return. Investors should read the Fund's simplified prospectus or prospectus carefully before subscription. Investment in the Fund is not covered by deposit insurance.**
2. Except that the major revisions of the securities investment trust agreement shall be approved via a resolution of the beneficiaries meeting, the beneficiary agrees that revisions may be approved by the competent authority following the protocol from the SITE and custodial institution.
3. The beneficiary agrees that the SITE may refuse to accept the subscription and return the foregoing subscription amount without interest if the beneficiary's subscription causes the issued amount of the Fund to exceed the net issuing amount approved by the FSC.
4. If the Fund invests in offshore markets and the investment in specific overseas market accounts for certain percent of the Fund net asset value published by the SITE on each business day, when the securities market in the foregoing specific country or area stops transactions due to weekend or national holiday, the SITE may temporarily suspend calculation of the fund's net asset value, temporarily suspend fund subscriptions and redemptions, and delay payment of the redemption price in accordance with the securities investment trust contract and prospectus.
5. All notifications will be delivered via mail, courier, telegram, telephone, fax, or e-mail to the beneficiaries' addresses, places of business or mailing addresses displayed on the roster of the Fund's beneficiaries. **If notifications are mailed, the delivery date will be the next business day following the mailing date;** if they are delivered via courier, telegram, telephone, fax, or e-mail, the delivery date will be the notice date. As a rule, the SITE shall sign all notification documents but notifications sent via the SITE's website (including but limited to confirmation notifications of online order or execution) shall be deemed to have already been signed. **If there are any discrepancies in the notification information sent by the SITE, the beneficiary agrees to that the information in the SITE's account is accurate.**
6. In accordance with "Rules Governing the Public Offering, Issuance, and Sale of Securities Investment Trust Funds and Their Subscription or Redemption" enacted by **Securities Investment Trust & Consulting Association Of The R.O.C.**, considering the fund characteristics and avoiding frequent short-swing transactions which might dilute the fund's profits and influence long-term beneficiaries' equity, the SITE may determine a short-swing transaction period and collect redemption fee for short-swing transaction. The redemption fee shall be allocated into the fund assets.

Please refer to the newest announcements on SITE websites or the newest fund prospectus for more detailed rules concerning short-swing transactions.

7. **The beneficiary should not have U.S. taxpayer status (including a U.S. citizen or a U.S. resident for tax purposes) and should not subscribe to the Fund on behalf of or for any persons with the foregoing status. If the beneficiary's taxpayer information is untruthful or if the change of beneficiary's taxpayer status is not in compliance with U.S. laws and regulations, the beneficiary may be imposed penalties under U.S. laws and the SITE shall not bear any responsibility for the reported information.**
8. **The beneficiary agrees that the SITE shall undertake relevant legal compliance tasks such as account identification and reporting in accordance with domestic and foreign laws and regulations.**
9. The terms of this agreement is based on the laws of the Republic of China and Taiwan Taipei District Court shall have the exclusive jurisdiction and shall be the court of first instance.
10. **The post office provides the Fund service in the role of an agent and it only provides the Fund account opening, subscription, and redemption. The Fund right which customers may exercise, change, release, or terminate and all terms specified in this agreement shall be performed in accordance with related laws, regulations, and trust contract. If the foregoing laws and regulations are revised, the Fund right shall be performed in accordance with the revised laws and regulations; however, the terms of this Account Opening Agreement shall remain valid and does not need to be signed again.**
11. In the event of any disputes arising from the Fund subscription or redemption, please contact the SITE's customer service line, go to the post offices providing the Funds services, or call **Chunghwa Post customer service line: 0800-700-365 (cell phone users please call a paid line (04) 2354-2030)** to seek assistance.

Assessment Form of Customer Risk Aptitude

※ In compliance with relevant laws and regulations, the customer shall fill out and check each item on this form in order to understand customer's risk aptitude (risk tolerance) so that the appropriate Fund could be provided.

※ Please provide your seal impression if any places have been altered.

Customer Information					
Beneficiary		ID No.			
1. Marital Status	<input type="checkbox"/> Unmarried <input type="checkbox"/> Married, No. of children : <input type="checkbox"/> None <input type="checkbox"/> Yes, ___ children <input type="checkbox"/> Not provided (it will be considered Not provided if none of the other boxes have been checked)				
2. Education	<input type="checkbox"/> Ph. D. <input type="checkbox"/> Master <input type="checkbox"/> Bachelor <input type="checkbox"/> Junior college <input type="checkbox"/> High school <input type="checkbox"/> Junior high school and below				
3. Occupation	<input type="checkbox"/> Finance/Insurance <input type="checkbox"/> Industry/Manufacturing <input type="checkbox"/> Information Industry <input type="checkbox"/> Medicine <input type="checkbox"/> Student <input type="checkbox"/> Professional <input type="checkbox"/> Commerce/Services <input type="checkbox"/> Military/Police/Civil Servant/Teacher <input type="checkbox"/> Self-employed <input type="checkbox"/> Housekeeper <input type="checkbox"/> None <input type="checkbox"/> Other_____				
4. Name of Company	_____ Company <input type="checkbox"/> Other _____ (Please specify)				
5. Position	<input type="checkbox"/> Staff <input type="checkbox"/> Technician <input type="checkbox"/> Sales <input type="checkbox"/> Professional <input type="checkbox"/> Intermediate Manager <input type="checkbox"/> Senior Manager <input type="checkbox"/> Chief Executive <input type="checkbox"/> Not provided <input type="checkbox"/> Other_____				
6. Financial Status (in NTD)	Personal/Household Annual Income <input type="checkbox"/> Less than 1 million <input type="checkbox"/> 1.01-3 million <input type="checkbox"/> 3.01-5 million <input type="checkbox"/> Over 5 million				
7. Expected Investment Amount (in NTD)	<input type="checkbox"/> Less than 500,000 <input type="checkbox"/> 510,000-1 million <input type="checkbox"/> 1.01-2 million <input type="checkbox"/> 2.01-5 million <input type="checkbox"/> 5.01-10 million <input type="checkbox"/> Over 10.01 million				
8. Source of Investment Funds (Multiple choice)	<input type="checkbox"/> Salary <input type="checkbox"/> Pension <input type="checkbox"/> Idle Funds <input type="checkbox"/> Transfer from another SITE <input type="checkbox"/> Other_____				
9. Investment Instruments (Multiple choice)	<input type="checkbox"/> NTD Deposit <input type="checkbox"/> Stocks <input type="checkbox"/> Bonds <input type="checkbox"/> Mutual Aid Society <input type="checkbox"/> Insurance <input type="checkbox"/> Real Estate <input type="checkbox"/> Foreign Currency Deposit <input type="checkbox"/> Mutual Funds <input type="checkbox"/> Futures and Options <input type="checkbox"/> Other_____				
10. the Ways of Obtaining Investment Information (Multiple choice)	<input type="checkbox"/> Books/Magazines/Newspapers <input type="checkbox"/> Radio/TV <input type="checkbox"/> Internet <input type="checkbox"/> Banks <input type="checkbox"/> Customer Service Center <input type="checkbox"/> Financial Manager <input type="checkbox"/> Other_____				
11. Do you have a major illness and injury certificate issued by National Health Insurance Administration	<input type="checkbox"/> Yes <input type="checkbox"/> No (if neither box is checked, the SITE will assume that you do not have this status)				
Risk Aptitude Assessment	1 point	2 points	3 points	4 points	5 points
◆ Part I: Multiple-choice question (one answer for each question)					
1. Age	<input type="checkbox"/> Over 70/Under 20	<input type="checkbox"/> 60-69	<input type="checkbox"/> 50-59	<input type="checkbox"/> 40-49	<input type="checkbox"/> 20-39
2. Investment Experience (including investment in stocks, mutual funds, foreign currency, gold, and futures, etc.)	<input type="checkbox"/> No investment experience	<input type="checkbox"/> Less than 1 year	<input type="checkbox"/> 1-3 years	<input type="checkbox"/> 3-5 years	<input type="checkbox"/> More than 5 years
3. What percentage of your personal/household monthly income can you use for investment or savings?	<input type="checkbox"/> Less than 5%	<input type="checkbox"/> 5%-10%	<input type="checkbox"/> 10%~20%	<input type="checkbox"/> 20%~30%	<input type="checkbox"/> Over 30%
4. What is your customary investment approach in mutual funds?	<input type="checkbox"/> Never investment	<input type="checkbox"/> Money Market Funds only	<input type="checkbox"/> Systematic Investment Plan (SIP)	<input type="checkbox"/> Both SIP and Lump Sum Investment	<input type="checkbox"/> Lump Sum Investment
5. Degree of investment risk tolerance: the market value of assets may increase or decrease; what is your desired average annual rate of return on investment? (Taking investment of NT\$1 million as an example)	<input type="checkbox"/> 1%-2% (desired annual profit of NT\$10,000-20,000)	<input type="checkbox"/> 3%-5% (desired annual profit of NT\$30,000-50,000)	<input type="checkbox"/> 6%-8% (desired annual profit of NT\$60,000-80,000)	<input type="checkbox"/> 9%-12% (desired annual profit of NT\$90,000-120,000)	<input type="checkbox"/> Over 12% (desired annual profit of over NT\$120,000)

6. Degree of investment risk tolerance: the market value of assets may increase or decrease; what are the greatest investment losses you can withstand in one year? (Taking investment of NT\$1 million as an example)	<input type="checkbox"/> 1%-2% (withstanding annual losses of NT\$10,000-20,000)	<input type="checkbox"/> 3%-5% (withstanding annual losses of NT\$30,000-50,000)	<input type="checkbox"/> 6%-8% (withstanding annual losses of NT\$60,000-80,000)	<input type="checkbox"/> 9%-12% (withstanding annual losses of NT\$90,000-120,000)	<input type="checkbox"/> Over 12% (withstanding annual losses of over NT\$120,000)
Score for this part 【please add up your own score】	$1\text{point} \times __\text{questions} + 2\text{points} \times __\text{questions} + 3\text{points} \times __\text{questions} + 4\text{points} \times __\text{questions} + 5\text{points} \times __\text{questions} = _____\text{points}$				

◆ Part II: Multiple-choice question (more than one answer)

7. What are your investment goals? (scored the highest-point answer)	<input type="checkbox"/> investing in Conservative products	<input type="checkbox"/> Short-term investment plan	<input type="checkbox"/> Saving for retirement	<input type="checkbox"/> Children's education fund	<input type="checkbox"/> Asset appreciation
Total Score 【please add up your own score】	Part I: $__\text{points}$ + Part II: $__\text{points}$ = Total: $__\text{points}$				

Customer Risk Aptitude Assessment

◆ According to the total score, please confirm and check your risk aptitude category.

Check (V)	Score	Risk Aptitude Category	Explanation of Risk Aptitude	Suitable Level of Risk Return
	7-11 points	Conservative	Your risk tolerance is extremely low and you hope to avoid loss of investment principal.	RR1
	12-21 points	Moderate	You are willing to accept some risk to seek a reasonable return on investment.	RR1-RR3
	Over 22 points	Aggressive	You are willing to accept a relatively high risk to seek a high return on investment.	RR1-RR5

※ The results of this risk aptitude assessment questionnaire are inferred from the personal information you provided when you filled in the questionnaire, and your results will be used as a reference when you invest in the Fund in the future. The results of this risk aptitude assessment questionnaire will have a valid period of 1 year, and you should complete the questionnaire again after that time; if you do not complete the questionnaire again, you may only subscribe to the Fund with the lowest risk grade. The content of this questionnaire and its results are not an offer or a solicitation for an offer to engage the Fund transaction and should not be considered as investment recommendations. The SITE should not bear any responsibility for the accuracy or completeness of the information containing in this questionnaire. In order to protect the privacy of your personal information, except required by law or the competent authority, the SITE shall not provide your personal information included in this questionnaire to any third party without your prior consent. If there are any discrepancies in the notification information sent by the SITE, the beneficiary agrees to that the information in the SITE's account is accurate. The Fund to which the beneficiary may subscribe shall be based on the results of risk aptitude assessment and the Fund's risk grade. Please consult the SITE's website for the Fund's risk grade.

※ According to related laws and regulations, when conducting the Fund distribution business, the SITE or post offices sales personnel should not actively recommend high-risk products to those investors who are known clearly as underprivileged persons including being over 70 years of age, having only a junior high school education level or below, or having a major illness and injury certificate issued by National Health Insurance Administration.

The Fund Risk Disclosure Statement

This risk disclosure statement is provided to you in accordance with Article 17 of the Securities Investment Trust and Consulting Association's (SITCA) "Rules Governing the Public Offering, Issuance, and Sale of Securities Investment Trust Funds and Their Subscription or Redemption" and "Guidelines for Advertisements and Business Activities Performed by Members and Their Sales Agents".

The Fund transactions have different characteristics than deposits, stocks, and other investment instruments. Before opening the Fund account and making transactions, you should carefully assess whether your financial situation and risk tolerance are suitable to the Fund transaction and should fully understand the following matters:

1. The decisions of the Fund subscription and redemption are made on the basis of your personal judgment, and you should understand and accept the risk entailed by transactions including principal loss.
2. The Fund has been approved or agreed to be effective by the FSC. However, there is no guarantee that it is risk-free. The SITE's past performance of the Fund manager does not guarantee a minimum investment return. Apart from exercising the duty of care of a prudent administrator, the Fund manager will not be responsible for the profit or loss of the Fund, not guarantee a minimum return. Investors should read the Fund's simplified prospectus or prospectus carefully before subscription.
3. The following risk factors should be considered when you make the Fund transactions:
 - (1) Risks associated with investment targets and investment regions: market risk (including the factors of politics, economy, society, exchange rate, interest rate, stock price, index, or price fluctuations of other target assets), liquidity risk, credit risk, changes in the economic cycle of industry, transaction risk, legal risk, and currency risk.
 - (2) The payment of redemption price may be delayed due to the foregoing risks, massive redemption from beneficiaries, or temporarily suspending calculation of redemption price.
 - (3) Investment involves risks and these risks may cause loss of principal even losing all the total investment principal. Paying NTD to subscribe to the Fund which is denominated in foreign currency or invests in foreign assets, the beneficiary should bear exchange rate risk when the amount of redemption/transaction is transferred to NTD.
 - (4) A high yield bond fund invests primarily in high risk bonds which have a credit rating below investment level or no credit rating and are extremely sensitive to fluctuations in interest rates. When interest rates rise or market transactions decrease, the liquidity of such bond fund may drop and lead to that the fund cannot be sold for a reasonable price in the short term. The fund also may suffer losses if the bond issuer defaults on principal or interest payments or goes into bankruptcy. As a consequence, the fund which specializes in high yield bonds should not make up a large percentage of investors' portfolios and should be not suitable for investors who cannot assume the associated risks. In addition, a high yield bond fund may invest in US Rule 144A bonds (the total amount of investment in Rule 144A bonds may not be exceeded 30 percent of the net asset value of domestic bond funds; no limitation in offshore bond funds) and the price of the fund may fluctuate drastically because Rule 144A bonds are privately offered and often have insufficient liquidity, poor financial information disclosure, or opaque price.
 - (5) The Fund's dividend may be paid from the Fund income or principal, and applicable fees may not be deducted prior to the dividend distributions. Any payments made from principal may cause reductions in the original investment amount. The items of dividend distributions can be viewed on the SITE's website.
4. The Fund is for long-term investment and it should not be expected to obtain high returns in the short term. The value of investments may rise as well as fall and investors may not get back the amount invested.
5. The Fund is not protected by deposit insurance, insurance stabilization fund, or other protection mechanisms.
6. In the case of any disputes arising from the Fund transactions, you may file a complaint with the SITCA or Financial Ombudsman Institution.

This Risk Disclosure Statement is brief and concise without providing a comprehensive list of all investment risks and factors that may affect market performance. Before making an investment, you should carefully review this Risk Disclosure Statement, read the Fund simplified prospectus/prospectus thoroughly, deliberate on other factors that may impact on market, and make financial planning and risk assessment well to avoid insufferable losses from rash investments. If you have any questions concerning the foregoing information or wish to obtain the Fund's simplified prospectus/prospectus from the SITE, we urge you to call the SITE's customer service number.

By affixing the beneficiary's seal impression on the right-hand side, I (the beneficiary) certify the following:
I have carefully read and agree to all terms and regulations of this "Assessment Form of Customer Risk Aptitude" and the content of "The Fund Risk Disclosure Statement".
I have provided the accurate information and check the correct risk aptitude category.
I also agree to read the Fund's latest simplified prospectus/prospectus and to understand the investment risks before subscribing to the Fund.

Beneficiary's Seal Impression

- ※If beneficiary is a minor, please affix the seal impression of statutory agent (parent or guardian).
- ※Please affix the assistant's seal impression in the case of a person under assistance.

※Personnel of risk aptitude assessment :

(Post Office staff only)

FATCA Self-Certification and Personal Information Consent Form

Complying with The Foreign Account Tax Compliance Act, Foreign Financial Institution Agreement, and Intergovernmental Agreement (hereinafter referred to as FATCA), Securities Investment Trust Enterprises (SITE) shall identify and report investor's US account (the investor is a US resident for tax purposes). Please certify the following item and provide relevant information.

I. Certification (Please check below Box)

I certify that I am not a US resident for tax purposes.

The term "US resident for tax purposes" means:

1. US citizen, or
2. US permanent resident (Green Card holder), or
3. Any person meets the substantial presence test for the calendar year including:
 - (1) The person must be physically present in the United States for a total of 183 days or more during the calendar year, or
 - (2) The person must be physically present in the United States on at least:
 - (i) 31 days during the current year, and
 - (ii) 183 days during the 3-year period that includes the current year and the 2 years immediately before that, counting:
 - (a) All the days you were present in the current year, and
 - (b) 1/3 of the days you were present in the first year before the current year, and
 - (c) 1/6 of the days you were present in the second year before the current year.

II. Consents Clauses

1. I agree to advise SITE of any change in circumstances which affects the tax residency status of the account holder (such as being a US resident for tax purposes) and to provide SITE with a suitably updated self-certification form within 30 days of such change in circumstances. If I fail to advise the change of identify status or provide incorrect information and then cause damage of SITE or the Funds managed by SITE, I agree to bear the liability for the damage.
2. If the information which I provide is incorrect, incomplete, or not latest so that SITE can't assess whether to comply with FATCA, I agree that SITE can take necessary action according to SITE regulations (such as identifying me as Recalcitrant Account Holder).
3. I agree that SITE may, in accordance with FATCA, report the personal information provided by me and related information kept by SITE to US Internal Revenue Service. The purpose of collecting, processing, using, and international transmitting aforementioned information should be subject to the requirement of FATCA and the scope of utilization should be the same as scope of specified purpose indicated in the Account Opening Agreement.
4. Due to the fact that some of FATCA documents have statutory expiration date, I agree to provide updated documents before the specific date or within a certain period required by SITE to maintain the validity of the documents.

By stamping beneficiary's seal below, I hereby certify that: (1) the statements and documents provided above are true, otherwise I will bear legal liability; (2) I have read all of consent clauses carefully and agree to be bound by the clauses; (3) I understand that SITE will be unable to provide any Funds services (including but not limited to opening account, performing transaction, and providing information) if I refuse to provide the personal information for FATCA reporting purpose.

Beneficiary's Seal Impression : _____

※If beneficiary is a minor, please affix the seal impression of statutory agent (parent or guardian).

※Please affix the assistant's seal impression in the case of a person under assistance.

ID Number : _____ Date : _____ Year _____ Month _____ Day

The SITE staff only						Post Office	
Supervisor	Clerk	Checking the seal	Receipt	Date of receipt	Account No.		
						Clerk :	Supervisor :

CRS-I CRS Self-Certification Form (Individual)

Instruction

1. Under the Regulations Governing the Implementation of the Common Standard on Reporting and Due Diligence for Financial Institutions, our Company is required to perform due diligence to exchange financial account information for tax purposes and report certain information of the reportable accounts to tax authorities of the Republic of China (Taiwan) (“ROC”).
2. Our Company is required to obtain and keep record of a self-certification form from the Account Holder to determine the country(ies)/jurisdiction(s) in which the Account Holder is a tax resident and perform reasonableness test on the form.
3. The definition of the capitalized terms used in this form, such as Account Holder, TIN, etc. can be found in the Regulations.
4. If you are an individual account holder, sole trader, or sole proprietor, please complete this form. If you are signing the form under the power of attorney of an entity account holder, please complete “CRS Self-Certification Form (Entity)”. If you are a controlling person of a Passive NFE account holder, please complete “CRS Self-Certification Form (Controlling Person)”.

※Please note that this form is not for tax and legal advice. Our Company is not allowed to give any tax or legal advice. If you have any questions regarding the tax and legal issues in this document, please consult with tax, legal, or other areas of professionals.

I - Identification of Account Holder

1. Name of Account Holder

Last Name or Surname(s) : _____ Middle Name(s) : _____ (If any)

First or Given Name : _____

If you are a sole trader or sole proprietor, please provide :

Legal name : _____

Business Administration Number : _____ (If any)

2. Date of Birth

(YYYY-MM-DD) : ____ / ____ / ____

3. Place of Birth

ROC(Taiwan), Town or City of Birth : _____

Other : _____ Town or City of Birth : _____

4. Current Residence Address (No P.O. box or company address)

Country/Jurisdiction of current residence : _____

Post Code/ZIP Code : _____

Current Residence Address : _____

5. Mailing Address(Complete if different to the Current Residence

Address) _____

6. ¹ Country/Jurisdiction of Residence for Tax Purposes and related Taxpayer Identification Number or functional equivalent number ("TIN")

※If you are a U.S. tax resident, please complete IRS W-9 form in addition to this form.

	Country/Jurisdiction of tax residence	TIN	Enter reason A, B, or C if no TIN available	Explain why the Account Holder is unable to obtain a TIN if you have selected reason B
1	<input type="checkbox"/> ROC(Taiwan)		<input type="checkbox"/> A <input type="checkbox"/> B <input type="checkbox"/> C	
2			<input type="checkbox"/> A <input type="checkbox"/> B <input type="checkbox"/> C	
3			<input type="checkbox"/> A <input type="checkbox"/> B <input type="checkbox"/> C	
4			<input type="checkbox"/> A <input type="checkbox"/> B <input type="checkbox"/> C	
5			<input type="checkbox"/> A <input type="checkbox"/> B <input type="checkbox"/> C	

※If a TIN is unavailable, provide the appropriate reason A, B or C where appropriate:

- A. The country/jurisdiction where the Account Holder is a tax resident does not issue TINs to its residents.
- B. The Account Holder is unable to obtain a TIN. Explain why the Account Holder is unable to obtain a TIN if you have selected this reason.
- C. TIN is not required. Only select this reason if the domestic law of the relevant country/jurisdiction of tax residence does not require the collection of TIN.

7. If you have any country/jurisdiction of tax residence **other than ROC(Taiwan)**, please provide the following information **in English**. (If No. 1~4 is filled in English, please skip this part.)

Last Name or Surname(s) : _____ Middle Name(s) : _____ (If any)

First or Given Name : _____

Place of Birth : _____ Town or City of Birth : _____

Country/Jurisdiction of current residence : _____

Current Residence Address : _____

¹If the Account Holder is a tax resident of the ROC, his or her Tax Identification Number (TIN) is as follows:

- 1.National ID Card Number (a 10-digit code issued by the Department of Household Registration, Ministry of the Interior)
- 2.Uniform ID Number (a 10-digit code issued by the National Immigration Agency, Ministry of the Interior)
- 3.The current Taxpayer Code Number for those who have neither National ID Card Number nor Uniform ID Number is assigned as follows: Mainland China citizens are coded as 9+yy+mm+dd (for example born on October 25, 1985, the code would be 9851025); for other foreigners, yyyy+mm+dd + the first two letters of his or her English name in order printed on his or her passport. (for example, the code for David Caruso born on October 25, 1985, would be 19851025DA)

II \ Declarations and Signature

1. I certify that I am the Account Holder of all the account(s) to which this form relates.
2. I acknowledge that the information contained in this form and information regarding the Account Holder and any Reportable Account(s) may be provided to the tax authorities of the ROC and exchanged with tax authorities of another country(ies)/jurisdiction(s) in which the Account Holder may be a tax resident pursuant to intergovernmental agreements to exchange financial account information for tax purposes.
3. I declare that all statements made in this declaration are, to the best of my knowledge and belief, correct and complete. I undertake to advise your Company of any change in circumstances which affects the tax residency status of the individual identified in Part 1 of this form or causes the information contained herein to become incorrect or incomplete, and to provide your Company with a suitably updated self-certification form within 30 days of such change in circumstances. If I breach the agreement, your Company is not liable for any tax penalties. If your Company is held accountable for any damages, including but not limited to the damages resulting from the competent authority's punishment or the counterparty's claim, I agree to compensate your Company unconditionally.

To _____ Securities Investment Trust Enterprise

Signature : _____

Date (YYYY-MM-DD) : ____ / ____ / ____

Print Name : _____

Capacity : _____

(If you are not the Account Holder, please indicate the capacity in which you are signing the form.)

※Reminder: Any person who deliberately misleads or has false and incorrect statements when he/she makes self-certification, will be liable under relevant domestic and international laws and regulations. According to Article 46-1 of Tax Collection Act, the Ministry of Finance or its authorized agencies may impose a fine from three thousand New Taiwan Dollars (NT\$3,000) to three hundred thousand New Taiwan Dollars (NT\$300,000) if an agency, institution, organization, enterprise, or individual violates paragraph 3 of Article 5-1, and avoids, hinders, or refuses investigation or inquiry by the Ministry of Finance or its authorized agencies, or fails to submit relevant information and documents required, and may notify them to comply within a given time limit; if compliance is not met within the given time limit, successive fines can be imposed in each case. One who does not execute due diligence or other reviewing processes on financial accounts prescribed in subparagraph 3(2) of Article 5-1, shall be imposed with a fine from two hundred thousand New Taiwan Dollars (NT\$200,000) to ten million New Taiwan Dollars (NT\$10,000,000) by the Ministry of Finance or its authorized agencies.