

This English version is for reference only.

Securities Investment Trust Enterprises(SITE)

Lump Sum Subscription and Redemption Form

Domestic Fund (The Fund)

[SITE Name]

Date : Y M D Post Office Number : -

※To protect your investment right and interest, please complete all fields below and provide your seal impression if any places have been altered except that the amount fields shall not be amended.

Information	Beneficiary Name :	(The post staff checks.)	1. <input type="checkbox"/> General client	The supervisor confirms
	ID No. :		2. <input type="checkbox"/> Employee and his/her relatives	
	Contact Tel. No. during the day:		3. <input type="checkbox"/> Preferential salary account holder	
	Mobil Tel. No.:		4. <input type="checkbox"/> The client making large amount investment	
Contact Person:				

◆The post staff should key in the data with transaction code 0510 before 4:00 p.m. on each business day (Monday-Friday), fax this form to SITE, and then confirm the content with SITE by telephone. Each form is only for the subscription or redemption of a Fund. If a client who is first-time to invest in the Fund issued by the SITE, the client should also fill out Account Opening Agreement.

Check	The Fund's Name/Giro Account of Subscription	Subscription Amount (1)	Fee Rate (2)	Subscription Fee (3)=(1)x(2)	Total Subscription Amount (4) = (1)+(3)
	Fund	NTD	%	NTD	NTD
	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

◆**The Fund Risk Disclosure Statement :** This risk disclosure statement is provided to you in accordance with Article 17 of the Securities Investment Trust and Consulting Association's (SITCA) "Rules Governing the Public Offering, Issuance, and Sale of Securities Investment Trust Funds and Their Subscription or Redemption" and "Guidelines for Advertisements and Business Activities Performed by Members and Their Sales Agents". The transactions of securities investment trust fund (herein referred to as the Fund) have different characteristics than deposits, stocks, and other investment instruments. Before opening the Fund account and making transactions, you should carefully assess whether your financial situation and risk tolerance are suitable to the Fund transaction and should fully understand the following matters:

1. The decisions of the Fund subscription and redemption are made on the basis of your personal judgment, and you should understand and accept the risk entailed by transactions including principal loss.
2. The Fund has been approved or agreed to be effective by the FSC. However, there is no guarantee that it is risk-free. The SITE's past performance of the Fund manager does not guarantee a minimum investment return. Apart from exercising the duty of care of a prudent administrator, the Fund manager will not be responsible for the profit or loss of the Fund, not guarantee a minimum return. Investors should read the Fund's simplified prospectus or prospectus carefully before subscription.
3. The following risk factors should be considered when you make the Fund transactions:
 - (1) Risks associated with investment targets and investment regions: market risk (including the factors of politics, economy, society, exchange rate, interest rate, stock price, index, or price fluctuations of other target assets), liquidity risk, credit risk, changes in the economic cycle of industry, transaction risk, legal risk, and currency risk.
 - (2) The payment of redemption price may be delayed due to the foregoing risks, massive redemption from beneficiaries, or temporarily suspending calculation of redemption price.
 - (3) Investment involves risks and these risks may cause loss of principal even losing all the total investment principal. Paying NTD to subscribe to the Fund which is denominated in foreign currency or invests in foreign assets, the beneficiary should bear exchange rate risk when the amount of redemption/transaction is transferred to NTD.
 - (4) A high yield bond fund invests primarily in high risk bonds which have a credit rating below investment level or no credit rating and are extremely sensitive to fluctuations in interest rates. When interest rates rise or market transactions decrease, the liquidity of such bond fund may drop and lead to that the fund cannot be sold for a reasonable price in the short term. The fund also may suffer losses if the bond issuer defaults on principal or interest payments or goes into bankruptcy. As a consequence, the fund which specializes in high yield bonds should not make up a large percentage of investors' portfolios and should be not suitable for investors who cannot assume the associated risks. In addition, a high yield bond fund may invest in US Rule 144A bonds (the total amount of investment in Rule 144A bonds may not be exceeded 30 percent of the net asset value of domestic bond funds; no limitation in offshore bond funds) and the price of the fund may fluctuate drastically because Rule 144A bonds are privately offered and often have insufficient liquidity, poor financial information disclosure, or opaque price.
 - (5) The Fund's dividend may be paid from the Fund income or principal, and applicable fees may not be deducted prior to the dividend distributions. Any payments made from principal may cause reductions in the original investment amount. The items of dividend distributions can be viewed on the SITE's website.
4. The Fund is for long-term investment and it should not be expected to obtain high returns in the short term. The value of investments may rise as well as fall and investors may not get back the amount invested.
5. The Fund is not protected by deposit insurance, insurance stabilization fund, or other protection mechanisms.
6. In the case of any disputes arising from the Fund transactions, you may file a complaint with the SITCA or Financial Ombudsman Institution.

This Risk Disclosure Statement is brief and concise without providing a comprehensive list of all investment risks and factors that may affect market performance. Before making an investment, you should carefully review this Risk Disclosure Statement, read the Fund simplified prospectus/prospectus thoroughly, deliberate on other factors that may impact on market, and make financial planning and risk assessment well to avoid insufferable losses from rash investments.

◆Please be sure to fill in units of redemption. If the client redeems all units, please check "All units". The post staff should fax this form to SITE before 4:00 p.m. on each business day (Monday-Friday) and confirm the content with SITE by telephone before keying in the data with transaction code 0590.

Check	The Fund's Name/Code of Redemption	Units of Redemption	Terminating Systematic Investment Plan (SIP)
	Fund	<input type="checkbox"/> All units	<input type="checkbox"/> Redeem units and terminate SIP ※Redemption does not mean terminating SIP. If you want to stop SIP, please check this item and deliver this original form to the SITE according to the SITE's regulations. The termination of SIP will take effect only after the SITE has confirmed the content and does not return this form.
Redemption	<input type="text"/>	<input type="checkbox"/> Partial units	

Payment method	<input type="checkbox"/> Remittance 【 The amount should be remitted to the beneficiary's account designated in Account Opening Agreement. If you want to change the designated account, please contact the SITE.】	<input type="checkbox"/> Remit to postal saving account; Post No. : _____ A/C No. : _____
	<input type="checkbox"/> Mailing Check 【 The non-negotiable check issued by the SITE should be payable to the beneficiary and delivered via registered AR mail.】	<input type="checkbox"/> Remit to _____ Bank _____ Branch, A/C No. : _____
		<input type="checkbox"/> Mailing Address : <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> _____

◆The Fund is issued in scripless form so beneficiaries should not apply for the physical beneficial interest certificates.

◆Due to the fact that some mutual funds offer different types of shares (known as “classes”), investors (beneficiaries) should fully understand the difference (such as denominated currency, distribution arrangements, front-end or back-end sales load) in each class of the Fund to select appropriate class before subscription. Because the fee rate and remuneration rate of each class may be different, please receive the fee rate and remuneration rate information over the last five years of each class of the Fund from the SITE website or other ways.

I have carefully assessed and read the fee rate and remuneration rate information over the last five years of each class of the Fund and confirm that the class of the Fund which I invest is appropriate for my investment goal. I also agree that the SITE can retain the record of assessment.
(Please check the box if the Fund offers different share classes; otherwise, the subscription will not be accepted.)

◆Delivery method of the Fund's simplified prospectus/prospectus
To protect your investment right and interest, you should be sure that you have received the Fund's simplified prospectus or prospectus delivered by the SITE in the following manner before subscribing to the Fund. The SITE will not accept your subscription until the SITE confirms that you have obtained the Fund's simplified prospectus or prospectus.
I have received the Fund's simplified prospectus or prospectus in the following manner 【the investor should check one of the following items】 :

from the SITE or other fund distributors.

download from Chunghwa Post website, SITE website, or Market Observation Post System (<http://mops.twse.com.tw/>).

◆By affixing the beneficiary's seal impression on the right-hand side, I, the beneficiary, certify the following:
The foregoing information provided by me is accurate.
The delivery method of the Fund's simplified prospectus/prospectus is checked by myself.
I fully understand as well as agree to the investment risk of the Fund, carefully read the latest Fund's simplified prospectus/prospectus and the descriptions as well as explanatory notes on the page 3, and know the Fund's channel remuneration (I will also inquire the change of the Fund's channel remuneration from Chunghwa Post website [www.post.gov.tw/Financial Management in the future](http://www.post.gov.tw/Financial%20Management%20in%20the%20future)).

※In accordance with the Civil Code, the SITE shall not allow persons who have become subject to the order of the commencement of guardianship to open an account or make transactions; a person under assistance must obtain the consent of the assistant before opening an account or making transactions. If the beneficiary is a minor, please affix the seal impression of statutory agent (parent or guardian); in the case of the beneficiary under assistance, please affix the assistant's seal impression.

Beneficiary's Seal Impression

※ I fully understand as well as agree to the investment risk of the Fund and the content of the securities investment trust contract. I also carefully assess my financial situation and confirm that my risk tolerance is suitable to the risk level of the Fund.

printing out the text

Stamp of
Post Office

Clerk:
Supervisor:

◆The lump sum subscription procedure of the Fund undertaken via post offices

Bring your personal ID document, seal, and amount of investment to the counter of post offices which provide mutual funds services.

Initial subscription	Further subscription
1. Fill out this Form (check initial subscription)	1. Fill out this Form (check further subscription)
2. Fill out Account Opening Agreement (for post office only) and attach photocopy of ID document.	2. Investors who have never signed "Assessment Form of Customer Risk Aptitude", require to change risk aptitude, or have not updated the risk aptitude in the last year must fill out the assessment form.
3. Pay subscription amount and fee.	3. Pay subscription amount and fee (the subscription amount will be remitted to the specialized account of the Fund).

Sign/affix seal impression on the Fund's channel remuneration. The post staff keys in the data, faxes this form and related documents (except Fund's channel remuneration) to the SITE, and confirms the content with SITE by telephone.

The post staff makes three photocopies of this form, forwards one photocopy to the investor; and delivers the original form and one photocopy to Department of Savings & Remittances.

SITE will deliver a confirmation of transaction to investor by ordinary mail or email within 7 business days after transaction performed.

◆The redemption procedure of the Fund undertaken via post offices

Bring your personal ID document and seal (as in SITE record) to the counter of post offices which provide mutual funds services.

Fill out this Form (check redemption)

The staff faxes this Form the SITE, confirms the content with SITE by telephone, and then keys in the transaction data.

SITE will deliver a confirmation of transaction to investor by ordinary mail or email within 7 business days after performing transaction

SITE will instruct the custodial bank to pay the redemption amount (date of payment will be set as specified in the fund prospectus) in accordance with the beneficiary's designated payment method.

※In addition to redeeming the Fund via post offices, the beneficiary also can redeem the Fund via delivering this Form to the SITE by registered mail, fax (limited to persons who have already applied for fax transaction), or going to the SITE in person.

◆ Descriptions of the Fund Subscription

1. Cut off time for subscription: **4:00 p.m. on each business day (Monday-Friday)**. The net asset value (NAV) of the Fund is determined by the amount invested in the Fund, the cost to run the Fund, and the outstanding shares.
2. If the subscription amount of the Fund is paid by a check, the subscription day (trade day) should be the day on which the check is cashed.
3. The Fund is issued in scripless form so the beneficiary should not apply for the physical beneficial interest certificates.
4. **Before applying to the SITE for subscription of beneficial certificates, the beneficiary should read the Fund simplified prospectus/prospectus thoroughly; in addition, when the subscription is confirmed, the beneficiary should exercise the right and perform the duty according to the contract.**
5. If the beneficiary wants to change his/her personal information, please contact the SITE to fill out the application form of Beneficiary Information Change.

◆ Descriptions of the Fund Redemption

1. Cut off time for redemption: **4:00 p.m. on each business day (Monday-Friday)**. According to the Fund prospectus, the redemption price will be based on NAV of a **particular day** on which the post office or the SITE receives the redemption application before cut off time. Any redemption application received after the cut off time will be processed based on the NAV of the **next business day**.
2. If the investor does not apply for fax transactions, please redeems the Fund based on this original form. If the investor has already applied for fax transactions, the redemption procedure will be completed after investor faxes this Form to the SITE prior to cut off time and confirms that the information is correct by phone.
3. Redemption of the Fund will take effect after the beneficiary affixes the seal impression as in SITE record, otherwise the SITE may cancel the redemption.
4. If you hold the Fund's physical beneficial interest certificates, you should deliver the certificates to the SITE before redeeming the certificates.
5. **Except as otherwise specified by beneficiary, the beneficiary agrees that the SITE may undertake the redemption of the Fund units with FIFO (first in, first out) method. If the beneficiary applies for redeeming all units, the units recorded in the SITE computer system (including units from lump sum investment and SIP) on the business day prior to beneficiary's application date will be sold.**
6. The Fund subscribed on a particular day should not be redeemed on the same day.
7. **Post offices do not provide the Fund switch service.** If you want to make the Fund switch, please contact the SITE.
8. **Redemption does not mean terminating SIP. If you want to stop SIP, please check the item "Redeem units and terminate SIP" or contact the SITE to fill out SIP change application, and deliver this original form to the SITE according to the SITE's regulations. The termination of SIP will take effect only after the SITE has confirmed the content and does not return this form.**

◆ Explanatory notes:

1. **The Fund has been approved or agreed to be effective by Financial Supervisory Commission (herein referred to as the FSC). However, there is no guarantee that it is risk-free. The SITE's past performance of the Fund manager does not guarantee a minimum investment return. Apart from exercising the duty of care of a prudent administrator, the fund manager will not be responsible for the profit or loss of the Fund, not guarantee a minimum return. Investors should read the Fund's simplified prospectus/prospectus carefully before subscription. Due to the fact that The expenses paid out of the Fund assets have been disclosed in the Fund prospectus, investors may request the Fund simplified prospectus/prospectus from the SITE or the Fund distributors or download the prospectus from the SITE website or Market Observation Post System (<http://mops.twse.com.tw/>). Investment in the Fund is not covered by deposit insurance.**
2. Except that the major revisions of the securities investment trust agreement shall be approved via a resolution of the beneficiaries meeting, the beneficiary agrees that revisions may be approved by the competent authority following the protocol from the SITE and custodial institution.
3. The beneficiary agrees that the SITE may refuse to accept the subscription and return the foregoing subscription amount without interest if the beneficiary's subscription causes the issued amount of the Fund to exceed the net issuing amount approved by the FSC.
4. The Fund subscription will take effect only after the subscription amount and fee are received. The subscription day (trade day) should be the day on which the cleared funds are remitted to the specialized account of the Fund, and the subscription units should be calculated on the NAV of the trade day. In addition, the discount of relevant fees will be conducted in accordance with the SITE regulations but should comply with the trust contract and the Fund prospectus.
5. **The information of fees charged from investors, including the collection time, calculation, and collection method of fees, may be obtained from ChungHwa Post website (www.post.gov.tw/)/Financial Management/Mutual Fund Services/Description of Mutual Fund Transactions /Subscription Fee.**
6. You (beneficiary, legal guardian) agree that the SITE may, in accordance with the Personal Information Protection Act, other relevant domestic and foreign laws and regulations, the SITE operation goals, risk control, audits, customer services, management, provision of information, your benefit, or at the request of competent authorities or courts, provide your account opening, transaction information, etc. to the SITE, other companies which are commissioned to perform fund matters, any company in the group to which the SITE belongs, the domestic or foreign financial supervisory institution of the foregoing companies, the competent authorities, or courts. You also agree that the SITE may, for the foregoing purposes, collect, process, use, and transmit internationally your personal information including identification information (name, contact method, ID number, passport number, resident certificate number, residential information, US taxpayer number, or account name and number), characteristic information (date of birth, nationality), household information (marital status), education information, financial information (income, assets), and social situation information (occupation). Periods, locations, subjects, and methods in personal information use: **During the period of collecting personal information for a specific purpose, the retention period of information specified in statute or contract, or the necessary retention period for the SITE to implement services, the SITE and the other subjects mentioned above may use the personal information in domestic or foreign territories via automated equipment or other non-automated way. In accordance with Article 3 of the *Personal Information Protection Act*, in terms of your personal information held by the SITE, you may contact personnel of the SITE customer service by telephone, go to a post office counter, or call ChungHwa Post customer service line to help you exercise the following rights using appropriate methods specified in the SITE regulations: (1) Inquiry or request to review, or make duplications of the personal information (in accordance with law, the SITE may charge a fee for necessary expenses). (2) Request to supplement or correct the personal information; however, in accordance with law, you shall provide an appropriate explanation. (3) Request the cessation of the collection, processing, or use of personal information and request the deletion of information. However, in accordance with law, the SITE may choose not to honor your request when the information is necessary for its implementation of services. If you refuse to provide relevant personal information or the information is incomplete, the SITE may not be able to perform necessary review and processing tasks and then may not be able to provide services to you. Except for your written consent, you do not agree that the SITE provide your personal information to the affiliated company for common marketing.**
7. If the Fund invests in offshore markets and the investment in specific overseas market accounts for certain percent of the Fund net asset value published by the SITE on each business day, when the securities market in the foregoing specific country or area stops transactions due to weekend or national holiday, the

SITE may temporarily suspend calculation of the fund's net asset value, temporarily suspend fund subscriptions and redemptions, or delay payment of the redemption price in accordance with the securities investment trust contract and prospectus.

8. **In accordance with “Rules Governing the Public Offering, Issuance, and Sale of Securities Investment Trust Funds and Their Subscription or Redemption” enacted by Securities Investment Trust & Consulting Association Of The R.O.C. , considering the fund characteristics and avoiding frequent short-swing transactions which might dilute the fund's profits and influence long-term beneficiaries' equity, the SITE may determine a short-swing transaction period and collect redemption fee for short-swing transaction. The redemption fee shall be allocated into the fund assets. Please refer to the newest announcements on SITE websites or the newest fund prospectus for more detailed rules concerning short-swing transactions.**
9. Even if the Fund prospectus indicates that investors may choose a front-end or a back-end fee when subscribing to the Fund, the beneficiary agrees that a front-end fee should be dominated when purchasing the Fund units via post offices.
10. **The post office provides the Fund service in the role of an agent and it only provides the Fund account opening, subscription, and redemption. The Fund right which customers may exercise, change, release, or terminate shall be performed in accordance with related laws, regulations, and trust contract. If the related matters and contents about the Fund subscription and redemption which should be explained to the investors have changed or updated in accordance with laws and regulations, they will be announced on the SITE website or Chunghwa Post website (www.post.gov.tw)/Financial Management.**
11. **In the event of any disputes arising from the Fund subscription or redemption, please contact the SITE’s customer service line, go to the post offices providing the Funds services, or call Chunghwa Post customer service line: 0800-700-365 (cell phone users please call a paid line (04) 2354-2030) to seek assistance.**
12. Matters not specified in this form shall be undertaken in accordance with the trust contracts, the Fund’s prospectus, and related laws as well as regulations.