

Chunghwa Post Co.Ltd
Special Records

Unit: NT\$

Item	Description and the Amount of Money
1.Manager (person in charge) or staff members who have been prosecuted for business violation in the last year.	<p>1.Chen, the sales of Kaohsiung Erling Post Office, embezzled NTD\$11,992,942 from time deposit from March 2015, and committed occupational embezzlement.</p> <p>The investigation was concluded and the charge was filed by Taiwan Kaohsiung District Prosecutors Office on October 8th, 2019.</p> <p>2.Zheng, the manager of Checheng Post Office, embezzled NTD \$5,986,000 from cash on hand from October 2017, and committed occupational embezzlement.</p> <p>The investigation was concluded and the charge was filed by Taiwan Pintung District Prosecutors Office on December 5th, 2019.</p>
2.Organizations or individuals who have been fined by the competent authority due to the business violation in the last year.	None.
3.Organizations or individuals who have been corrected by the competent authority due to the business negligence in the last year.	The Financial Supervisory Commission (FSC) found that Chunghwa Post had deficiencies in performing cash count and self-inspection disturbing the sound operation. Considering that Chunghwa Post handled the deficiencies properly and had taken improvement measures, The FSC made a correction in accordance with Article 12 of Postal Remittances and Savings Act on March 3, 2020.

<p>4.Organizations or individuals who have been fined either individually or a total exceeding 50 million due to safety accidents caused by fraud, major incidents or failure to comply with the “Safety Maintenance Guidelines of Financial Institutions” in the last year.</p>	<p>None.</p>
<p>5.Others.</p>	<p>None.</p>