Chunghwa Post Co., Ltd.
Special Records

Unit: NT$

Date: 2025 / 7 / 1 ~ 2026 / 6 / 30

Item	Description and the Amount of Money
1.Manager (person in charge) or staff members who have been prosecuted for business violation in the last year.	None.
2.Organizations or individuals who have been fined by the competent authority due to the business violation in the last year.	None.
3.Organizations or individuals who have been corrected by the competent authority due to the business negligence in the last year.	1、During the course of its insurance business, the Company was found to have violated relevant provisions of the Insurance Act. Accordingly, on December 5, 2025, the Financial Supervisory Commission, pursuant to Article 149, Paragraph 1 of the Insurance Act, imposed 2 corrective measures. The facts and reasons for the violations are as follows: (1)In handling simple life insurance business, solicitation was not conducted within the designated life insurance service area, or the policyholder’s signature was only obtained within the life insurance service area. Such practices failed to comply with the Company’s internal regulations governing insurance product solicitation, thereby hindering customers' ability to distinguish between deposit-taking business and life insurance businesses. (2)Regarding insurance sales to elderly customers, the Company did not properly carry out post-sale telephone interviews after selling the insurance contract and before approving coverage, as required by its internal regulations.

	2、The Company failed to record all phone calls made to the Securities Subsection office (i.e., the trading room), thus failing to implement the communication equipment management mechanism for personnel involved in domestic equity transactions in accordance with our internal regulations. This is inconsistent with Article 5, Paragraph 1, Item 15 of the "Regulations Governing Implementation of Internal Control and Auditing System of Insurance Enterprises", which is authorized by Article 148-3, Paragraph 1 of the Insurance Act. On December 15, 2025, The Financial Supervisory Commission has rectified this matter in accordance with Article 149, Paragraph 1 of the Insurance Act.
4.Organizations or individuals who have been fined either individually or a total exceeding 50 million due to safety accidents caused by fraud, major incidents or failure to comply with the “Safety Maintenance Guidelines of Financial Institutions” in the last year.	None.
5.Others.	None.