

中華郵政股份有限公司
「機構投資人盡職治理守則」遵循聲明

Chunghwa Post Co., Ltd
Statement of Compliance with Stewardship Principles for
Institutional Investors

中華郵政股份有限公司主要業務為遞送郵件、儲金、匯兌、簡易人壽保險、集郵及其相關商品、郵政資產之營運等業務，並得經交通部核定接受委託辦理其他業務及投資或經營相關業務，係屬資產擁有人。茲聲明遵循「機構投資人盡職治理守則」，針對六項原則之遵循情形如下：

The main businesses of Chunghwa Post Co., Ltd. include mail delivery, savings, remittance, simple life insurance, philately and related products, and the operation of postal assets. It also accepts commissions to handle other businesses and investment or operate related businesses approved by the Ministry of Transportation and Communications. As an asset owner, the Company hereby declares compliance with the "Stewardship Principles for Institutional Investors". The compliance aligns with the six principles is as follows:

原則一 制定並揭露盡職治理政策

本公司以郵儲壽三業合營型態積極經營，以謀取客戶及股東之總體利益，為達成此一目標，特訂定盡職治理政策，內容包括履行盡職治理之行動與揭露等，並將環境、社會、公司治理(ESG)議題融入投資評估流程。

Principle 1: Establish and disclose stewardship policies

The Company actively operates as a joint venture of the three businesses of Postal Service, Savings and Life Insurance to pursue the overall interests of customers and shareholders. To achieve this goal, the Company has established a Stewardship policy, which includes the actions and

disclosures of stewardship fulfillment, and integrates the issues of environmental, social and corporate governance (ESG) into the investment evaluation process.

原則二 制定並揭露利益衝突管理政策

為確保本公司基於資金提供者之利益執行業務，本公司訂有利益衝突管理政策，包括利益衝突態樣、管理方式、權責分工及監督控管機制。

Principle 2: Establish and disclose policies on managing conflicts of interest

To ensure the Company executes its business for the interests of fund providers, the Company has established policies on managing conflicts of interest, including conflict of interest patterns, management methods, division of responsibilities and supervision mechanisms.

原則三 持續關注被投資公司

為確保本公司取得充分且有效之資訊，以建立良好之投資決策基礎，本公司針對被投資公司之相關新聞、財務表現、產業概況、經營策略、環境保護作為、社會責任與勞工權益及公司治理等議題，持續予以關注，並瞭解被投資公司之永續發展策略。

Principle 3: Regularly monitor investee companies

To ensure that the Company obtains sufficient and effective information to establish a good basis for investment decisions, the Company continuously monitor the relevant news, financial performance, industry overview, business strategy, environmental protection measures, social responsibility, labor rights and corporate governance of the investee companies, and understand the sustainable development strategies of the investee companies.

原則四 適當與被投資公司對話及互動

本公司透過與被投資公司適當之對話及互動，以進一步瞭解與溝通其經營階層對

產業所面臨之風險與策略，並致力與被投資公司在長期價值創造上取得一定共識。本公司每年透過電話會議、面會、參與法說會或參與股東會等方式與被投資公司經營階層溝通。當被投資公司在特定議題上有重大違反環境、社會、公司治理(ESG)原則時，本公司將不定時向被投資公司經營階層詢問處理情形，且不排除聯合其他投資人共同表達訴求。

Principle 4: Maintain an appropriate dialogue and interaction with investee companies

The Company further understands and communicates with the management of the investee companies about the risks and strategies faced by the industry through appropriate dialogue and interaction, and strives to build consensus with the investee companies on long-term value creation. The Company communicates annually with the management of the investee companies through telephone conferences, face-to-face meetings and participation in investor conferences or shareholders' meetings. When the investee companies have major violations of environmental, social and corporate governance (ESG) principles on specific issues, the Company will ask the management of the investee companies about the handling of the situation irregularly, and does not rule out joining other investors to express appeals collectively.

原則五 建立並揭露明確投票政策與揭露投票情形

本公司基於資金提供者之總體利益，訂定明確投票政策，積極參與股東會各項議案投票，並每年揭露對被投資公司各類議案之投票情形。

Principle 5: Establish and disclose clear voting policies and voting results

Based on the overall interests of fund providers, the Company has established clear voting policies, actively participated in voting on various proposals at shareholders' meetings, and disclosed the voting results on various proposals for the investee companies annually.

原則六 定期揭露履行盡職治理之情形

本公司每年定期於網站揭露履行盡職治理之情形，包括本遵循聲明、盡職治理報告、參與被投資公司股東會與投票情形及其他重大事項。

Principle 6: Regular disclosure of stewardship responsibilities fulfilment

The Company regularly discloses stewardship responsibilities fulfilment on its website annually, including this compliance statement, stewardship report, participation in shareholders' meetings and voting results of investee companies and other major matters.

簽署人 中華郵政股份有限公司

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Signatory: Chunghwa Post Co., Ltd.

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